

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. SYC-116US

First Inventor Michael R. Harris

Title INTEGRATED SHORT RANGE RDS FM TRANSMITTER

Express Mail Label No. EL 992 923 431 US

03970 U.S. PTO
10/676620

093003

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. ☐ Unexecuted Declaration
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check
Certificate of Express Mail

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information Examiner _____ of prior application No: _____ / _____
Group / Art Unit: _____
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

23122

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Address

City

Country

State

Telephone

Zip Code

Fax

Name (Print/Type)

Kenneth N. Nigon

Registration No. (Attorney/Agent)

31,549

Signature

Date

September 30, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 415

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | To Be Assigned |
| Filing Date | Herewith |
| First Named Inventor | Michael R. Harris |
| Examiner Name | To Be Assigned |
| Art Unit | To Be Assigned |
| Attorney Docket No. | SYC-116US |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 18-0350
Deposit Account Name: RatnerPrestia

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below
☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| | | 1001 | 750 | 2001 | 375 | Utility filing fee | 375 |
| | | 1002 | 330 | 2002 | 165 | Design filing fee | |
| | | 1003 | 520 | 2003 | 260 | Plant filing fee | |
| | | 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| | | 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$ 375)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 12 | -20** | 0 | 0 |
| Independent Claims | 1 | -3** | 0 |
| Multiple Dependent | | | 0 |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|----------|----------|--|
| | | 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| | | 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| | | 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid |
| | | 1204 | 84 | 2204 | 42 | ** Reissue independent claims over original patent |
| | | 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| | | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| | | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| | | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| | | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| | | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| | | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| | | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| | | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| | | 1502 | 470 | 2502 | 235 | Design issue fee | |
| | | 1503 | 630 | 2503 | 315 | Plant issue fee | |
| | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| | | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| | | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| | | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | | 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| | | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)


*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|----------------------------------|--------------------|-----------|---------------|
| Name (Print/Type) | Kenneth N. Wilson | Registration No. Attorney/Agent) | 31,549 | Telephone | (610)407-0700 |
| Signature |  | Date | September 30, 2003 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

| | | | |
|---|----------------------|---|-------------------|
| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | | Michael R. Harris |
| | Title | INTEGRATED SHORT RANGE RDS FM TRANSMITTER | |
| | Atty Docket Number | | SYC-116US |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multi-lateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 30, 2003

Date



Signature

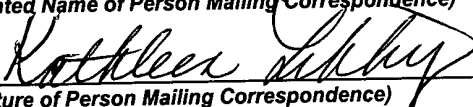
Kenneth N. Nigon, Reg. No. 31,549

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this non-publication request at any time. If Applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multi-lateral international agreement, that requires publication of applications eighteen months after filing, the Applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

| | | | | |
|--|-------------------------|----------------------------|----------------------------------|--|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Michael R. Harris | | | Docket No. SYC-116US | |
| Serial No. To Be Assigned | Filing Date Herewith | Examiner To Be Assigned | Group Art Unit To Be Assigned | |
| Invention: INTEGRATED SHORT RANGE RDS FM TRANSMITTER | | | | |
| I hereby certify that the following correspondence: <div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> Utility Trans. (1 pg.); Fee Trans. in duplicate; Specification (10 pgs.); Claims (3 pgs.); Abstract (1 pg.) Drawings (3 sheets); Decl/POA (2 pgs.); 1595; Assignment (2 pgs.); IDS (2 pgs.); 1449; Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i); Check; Postcard </div> <div style="text-align: center; margin-top: 10px;"> <i>(Identify type of correspondence)</i> </div> | | | | |
| Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 30, 2003 | | | | |
| <div style="margin-bottom: 10px;"> <u>Kathleen Libby</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i> </div> <div style="margin-bottom: 10px;">  <i>(Signature of Person Mailing Correspondence)</i> </div> <div> <u>EL 992 923 431 US</u> <i>("Express Mail" Mailing Label Number)</i> </div> | | | | |
| Note: Each paper must have its own certificate of mailing. | | | | |